



## **HB Wealth Management, LLC**

**Brochure - ADV Part 2B For:**

# **Mr. Robert “Rob” Anthony Barcelona**

**March 2020**

**Investment Adviser Representative | CRD# 6303067**

**HB Wealth Management, LLC | CRD# 305611**

**250 Monroe Ave NW, Ste 400**

**Grand Rapids, MI 49503**

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**Website: <https://HB-Wealth.com>**

*This brochure supplement provides information about Mr. Robert A. Barcelona that supplements the HB Wealth Management, LLC brochure ADV Part 2A for CRD # 6303067. If you did not receive the HB Wealth Management, LLC brochure or if you have any questions about its contents and/or this supplement contents contact Mr. Robert A. Barcelona at (616) 717-5714 or by email at: [Rob@HB-Wealth.com](mailto:Rob@HB-Wealth.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.*

*Additional information about HB Wealth Management, LLC and/or Mr. Robert A. Barcelona is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)*

*Registration does not imply a certain level of skill or training.*

## Item 2: Name, Educational and Business Experience

### Name:

Mr. Robert Anthony Barcelona

Born: 1989

### Education, Certifications & Industry Exams Passed:

Grand Valley State University | Bachelors in Business & Economics | 2012

SIE | Securities Industry Essentials Exam | 10/2018

Series 65 | Uniform Investment Adviser Law Exam | 1/2015

Series 63 | Uniform Securities State Law Exam | 3/2014

Series 7 | General Securities Representative Exam | 12/2014

Series 6 | Investment Company Products/Variable Contracts Representative Exam | 3/2014

Insurance License | Life & Annuities | License #17246034

### Financial Services Background:

HB Wealth Management, LLC | President and CCO | Grand Rapids, MI | 09/2019 – Present

Bank of America | Grand Rapids, MI | 12/2014 – 09/2019

Merrill Lynch, Pierce, Fenner & Smith Inc. | Grand Rapids, MI | 10/2014 – 09/2019

JP Morgan Securities, LLC | Ann Arbor, MI | 02/2014 – 10/2014

JP Morgan Chase Bank | Ann Arbor, MI | 12/2010 – 10/2014

Grand Valley State University | Allendale, MI | 07/2010 – 12/2010

Great Harvest Bread Company | Northville, MI | 05/2009 – 07/2010

## Item 3: Disciplinary Information

As an Investment Advisor Representative you are required to disclose all material fact regarding any legal or disciplinary events that would be material in your evaluation.

Mr. Robert A. Barcelona has never been the subject of a criminal or civil action.

Mr. Robert A. Barcelona has never been the subject of an administrative or self-regulatory organization proceeding; or any other hearing or formal adjudication regarding a professional attainment, designation or license.

For more information about Mr. Robert A. Barcelona, please visit FINRA's Broker Check at [www.finra.org/brokercheck](http://www.finra.org/brokercheck) and/or the SEC's Investment Advisor Search at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 4: Other Business Activities**

Mr. Robert A. Barcelona is not actively engaged in any other investment advisory - related business or occupation at this time (other than HB Wealth Management).

Mr. Robert A. Barcelona is a non-compensated advisory board member with the Van Andel Institute, a 501c3 non-profit organization located in Grand Rapids, MI, since 2015.

Mr. Robert A. Barcelona has an active insurance license that was obtained while he was a Registered Representative prior to the founding of HB Wealth Management, LLC. He is not in the business of selling insurance at this time and has no plans to offer/sell insurance products.

## **Item 5: Additional Compensation**

Mr. Robert A. Barcelona does not receive any economic benefit from any person, company, or organization in the investment advisory industry, outside of HB Wealth Management, LLC.

## **Item 6: Supervision**

As the President & CCO of HB Wealth Management, LLC, Mr. Robert A. Barcelona is HB Wealth Management's Chief Compliance Officer (CCO). His contact information is on the cover page of this disclosure document. Mr. Robert A. Barcelona adheres to all required regulations regarding the activities of an Investment Advisor Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, the firm's compliance manual, including the code of ethics, and appropriate securities regulatory requirements.

## **Item 7: Requirements for State-Registered Advisers**

Mr. Robert A. Barcelona has never been involved in any disciplinary events reportable under this item.

Mr. Robert A. Barcelona has never been found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Robert A. Barcelona has never been found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Mr. Robert A. Barcelona has never been involved or the subject of a bankruptcy petition.